

<b>Code</b>	<b>VIX</b>
<b>Company name</b>	VIX Securities Joint Stock Company
<b>Date</b>	08/20/2021
<b>Subject</b>	Record date for implementing rights

**Content:**

On August 20, 2021, VIX Securities Joint Stock Company announces the record date as follows:

- Record date: September 08, 2021

1. Reason & Purpose:

- To pay stock dividend;
- To implement rights to buy shares.

2. Content:

a. To pay stock dividend:

- Exercise ratio: 100:15 (shareholder who owns 100 shares will receive 15 new shares.)
- Plan to deal with fractional shares: The distributed shares will be rounded down to dozen, the fractional shares due to rounding down will be cancelled.
- For example: at the record date, shareholder A owned 588 shares. With 100:15 performing ratio, the shareholder A receives:  $155 * 1/100 = 88.2$  shares. According to rounding policy, the shareholder A receives 88 new shares and fractional shares of 0.2 will be cancelled.
- Place of payment:
  - + Shareholders whose shares have been deposited: at the securities firms where the shares have been deposited.
  - + Shareholders whose shares have not been deposited: at VIX Securities Joint Stock Company (Address: Floor 22<sup>nd</sup>, No.52 Le Dai Hanh Street, Le Dai Hanh Ward, Hai Ba Trung District, Hanoi).

b. To implement rights to buy shares:

- Exercise ratio: 1:1 (Those who own 01 share will receive 01 right, and with every 01 right will be entitled to purchase 01 new share).
- Expected issue volume: 127,718,975 shares
- Issuing price: 10,000 dongs/share

- How to deal with fractional shares: due to the issuance ratio 1:1, there are not fractional shares.
- How to deal with unsold shares: The unsold shares (if any) will be decided by the Board of Directors with the selling price is not less than VND 10,000/share. These shares will be restricted in 01 year from the finish date of the offering.
- For example: At the record date, the shareholder A owns 588 shares. With performing ratio 1:1 the shareholder A will purchase:  $588 * 1/1 = 588$  shares. Accordingly, the shareholder A will be purchased 588 new shares.
- Regulation on rights transfer:
  - Time for rights transference: from September 20, 2021 to October 05, 2021
  - The rights should be transferred only once.
- Regulation on securities purchased:
  - Time for subscription and deposit: from September 20, 2021 to October 11, 2021.
  - The new shares are freely transferable.
- Place to receive subscription:
  - Shareholders whose shares have been deposited: at the securities firms where shares have been deposited.
  - Shareholders whose shares have not been deposited: at VIX Securities Joint Stock Company (Address: Floor 22<sup>nd</sup>, No.52 Le Dai Hanh Street, Le Dai Hanh Ward, Hai Ba Trung District, Hanoi).
- Bank for blocking depository money:
  - Account holder: VIX Securities Joint Stock Company
  - Account number: 1116 1556 8568
  - Bank: Vietinbank – Nam Thang Long branch.